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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 02/17/2010

Beck & Tysver, P.L.L.C. Suite 100 2900 Thomas Avenue S. Minneapolis, MN 55416 EXAMINER KANG, PAUL H

ART UNIT PAPER NUMBER

DATE MAILED: 02/17/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
10/738,371	12/16/2003	Steven Soltis	3000	7266			
TITLE OF INVENTION: SHARED FILE SYSTEM							

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	05/17/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This f appropriate. All further co indicated unless corrected maintenance fee notification	form should be used for or respondence including below or directed others.	or trang the	nsmitting the ISSU Patent, advance on in Block 1, by (a					hould be completed where correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDEN			any change of address)	pa	pers. Each addition:	al paper	g can only be used for ficate cannot be used for tr, such as an assignme tiling or transmission.	or domestic mailings of the for any other accompanying ant or formal drawing, must
Beck & Tysver, Suite 100 2900 Thomas Ave	enue S.	2010		I I St ac tra	saraby cartify that the	rie Good	e of Mailing or Trans (s) Transmittal is being fficient postage for fir ISSUE FEE address (1) 273-2885, on the d	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
Minneapolis, MN	55416			L				(Depositor's name)
				L				(Signature)
				L				(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVENTO	R	ATTC	RNEY DOCKET NO.	CONFIRMATION NO.
10/738,371 TITLE OF INVENTION:	12/I6/2003 SHARED FILE SYSTI	м		Steven Soltis			3000	7266
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nonprovisional	YES		\$755	\$300	\$0		\$1055	05/17/2010
EXAMIN	VER		ART UNIT	CLASS-SUBCLASS	٦			
KANG, PA			2444	709-217000	J			
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1. Change of correspondence address or indication of "Fee Address" (3' CFR 1.56).  Change of correspondence address (or Change of Correspondence Address form FTO/SH 122) attached.  Tee Address' indication for 'Fee Address' Indication form FTO/SH4T; Rev 03-02 or more recent) attached. Use of a Customic Number is required.			Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, or agent OR, alternatively, or agent OR, alternatively or agent OR, alternative OR, and or agent OR, alternative OR, and or agent OR. The name is a gent OR. The or agent OR. The o				
3. ASSIGNEE NAME AN								
	ss an assignee is identi in 37 CFR 3.11. Comp				patent. If an assign n assignment.			ocument has been filed for
Please check the appropria	te assignee category or	catego	ories (will not be pr	inted on the patent):	Individual C	orporat	ion or other private gro	oup entity Government
4a. The following fee(s) ar  Issue Fee Publication Fee (No Advance Order - #	small entity discount p	ermitte		o. Payment of Fee(s): (Pl A check is enclosed Payment by credit of The Director is here overpayment, to De	ard. Form PTO-203	B is atta	ached. required fee(s), any de	
<ol> <li>Change in Entity Statu</li> <li>a. Applicant claims</li> </ol>	SMALL ENTITY statu	s. See	37 CFR 1.27.	☐ b. Applicant is no le				
NOTE: The Issue Fee and interest as shown by the re	Publication Fee (if requered of the United Sta	ired) les Pat	will not be accepted ent and Trademark	d from anyone other than Office.	the applicant; a reg	istered	attorney or agent; or th	ne assignee or other party in
Authorized Signature _					Date			
Typed or printed name					Registration !			
This collection of informat an application. Confidentis submitting the completed this form and/or suggestion Box 1450, Alexandria, Vir Alexandria, Virginia 2231:	tion is required by 37 C ality is governed by 35 application form to the ns for reducing this bur- ginia 22313-1450. DC 3-1450.	FR 1.3 U.S.C USPT den, sl NOT	11. The informatic . 122 and 37 CFR O. Time will vary hould be sent to th SEND FEES OR 0	on is required to obtain on 1.14. This collection is a depending upon the ince Chief Information OfficoMPLETED FORMS	r retain a benefit by estimated to take 12 lividual case. Any co cer, U.S. Patent and IO THIS ADDRES	the pub minute ommen Trader S. SEN	lic which is to file (and s to complete, including ts on the amount of timerk Office, U.S. Dep D TO: Commissioner	d by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Beck & Tysver, P.L.L.C.			KANG, PAUL H		
Suite 100			ART UNIT	PAPER NUMBER	
2900 Thomas Aver Minneapolis, MN		2444 DATE MAIL ED: 02/17/201	0		

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1021 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1021 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Application No. Applicant(s) 10/738.371 SOLTIS, STEVEN Interview Summary Examiner Art Unit 2444 Paul H. Kang All participants (applicant, applicant's representative, PTO personnel): (1) Paul H. Kang, USPTO. (2) Daniel A. Tysver, Reg. No. 35,726. (4)\_\_\_\_. Date of Interview: 03 February 2010. Type: a) ☐ Telephonic b) ☐ Video Conference c) Personal (copy given to: 1) applicant 2) applicant's representative Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If Yes, brief description: \_\_\_\_\_. Claim(s) discussed: 1-59. Identification of prior art discussed: Anderson. Agreement with respect to the claims f) was reached. g) was not reached. h) N/A. Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: An agreement was made to make claims 1-49 and 52-58 allowable, Applicant will submit a Listing of Claims incorporating the amendments agreed upon. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Primary Examiner, Art Unit 2444

## Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
A complete written statement as to the substance of any factor-bace, video conference, or telephone interview with regard to an application must be made of record in the application where or not an apprenent with the examiner was reached at the interview.

## Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135, (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patient of Trademark Office should be Iransacted in writing. The personal attendance of applicants or their attomeys or agents at the Patient and Trademark Office is unnecessary. The action of the Patient and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant of the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the interview Summay Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the
- Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the applicant may desire to emphasize and fully
  - describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.